

NAME: \_\_\_\_\_ Month/Day of Birth: \_\_\_\_/\_\_\_\_

Organization: \_\_\_\_\_

Email address: \_\_\_\_\_ Tel# \_\_\_\_\_



Earning the ABA Certificate in Deposit Compliance demonstrates to bank management and examiners your thorough grounding in key deposit regulations, and ability to identify and respond to compliance requirements.

**REQUIRED COURSES:**

GRADE	DATE COMPLETED	COURSE	DELIVERY OPTIONS				
			L	W	SP	ILO	GL
		Anatomy of a Regulation for Compliance Professionals			•		
		BSA/USA Patriot Act			•		
		Digital Compliance for Compliance Professionals			•		
		Electronic Funds Transfer Act (Reg E)			•		
		Elements of a Compliance Program for Compliance Professionals			•		
		Expedited Funds Availability Act (Reg CC)			•		
		Office of Foreign Assets Control (OFAC)			•		
		Privacy/Information Sharing			•		
		Reserve Requirements for Depository Institutions Act (Reg D)			•		
		Truth-in-Savings Act (Reg DD)			•		
		Unfair, Deceptive or Abusive Acts or Practices (UDAAP) for Compliance Professionals			•		

L= Live    W=CFTEA Webcourse    SP= Self-paced Online    ILO = Instructor-Led Online    GL = Guided Learning

PLEASE CONTACT THE CFTEA OFFICE FOR CONFIRMATION OF COMPLETION WHEN APPROACHING COMPLETION OF A CERTIFICATE OR DIPLOMA